



## INFORMATION DISCLOSURE STATEMENT

Applicant : Jagadish C. Sircar, et al.  
App. No. : 10/661,296  
Filed : September 12, 2003  
For : PHENYL-AZA-BENZIMIDAZOLE  
COMPOUNDS FOR  
MODULATING IgE AND  
INHIBITING CELLULAR  
PROLIFERATION  
Examiner : Unknown  
Group Art Unit : 1614

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

February 19, 2004

(Date)

Connie C. Tong

Connie C. Tong, Reg. No. 52,292

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing six references. Copies of disclosed U.S. patents and/or publications are not enclosed pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of 3 non-U.S. references are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: February 19, 2004

By: Connie C. Tong

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AVANIR.112AAPPLICATION NO.  
10/661,296INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Jagdish C. Sircar, et alFILING DATE  
September 12, 2003GROUP  
1614

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,124,336	6/23/92	Bru-Magniez, et al.			
	5,322,847	6/21/94	Marfat et al.			
	6,093,728	7/25/00	McMahon, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 1 125 936A2	8/22/01	EP	C07D	471/04		
	EP 415 886 A2	8/22/90	EP (German Text; Abstract attached)	C07D	471/04		
	EP 0385 850A2	2/27/90	EP (French text; Abstract attached)	C07D	401/14		

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	